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Cryptomonad nuclear and nucleomorph 18S rRNA phylogeny

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Nuclear and nucleomorph 18S ribosomal RNA genes from six cryptomonads were amplified by the polymerase chain reaction and sequenced. Phylogenetic trees were constructed by distance, parsimony, and maximum likelihood methods for all available cryptomonad nuclear and nucleomorph 18S rRNA sequences. Nuclear and nucleomorph trees are largely congruent and clearly disprove the idea of polyphyletic origins for cryptomonad chloroplasts. Both show the leucoplast-containing Chilomonas as the sister to all photosynthetic cryptomonads. Using 11 cryptomonad nucleomorph sequences gives more convincing evidence than before that cryptomonad nucleomorphs originated from a red alga and are not specifically related to Chlorarachnion nucleomorphs. Both trees show as a clade the genera with nucleomorphs embedded in a chloroplast-envelope invagination into the pyrenoid (Storeatula, Rhinomonas, Rhodomonas). This monophyly of embedded nucleomorphs supports the recent creation of the order Pyrenomonadales for such cryptomonads. Cryptomonads ancestrally having free nucleomorphs are much more diverse. Komma and Chroomonas, with the blue accessory pigment phycocyanin, form a clade, as do Guillardia and Cryptomonas Φ , both with the red pigment phycocrythrin. The nucleomorph trees strongly show the blue Chroomonas/Komma clade as sister to all red-pigmented genera, but nuclear sequences support this weakly, if at all, being sensitive to taxon sampling. Red and blue cryptomonads probably diverged early by differential pigment loss. Nuclear sequences provide no clear evidence for the nature of the host that engulfed the ancestral symbiont. Our nuclear trees using an extensive selection of outgroups, and recent evidence from chloroplast DNA, are consistent with but do not positively support the view that the closest relatives of Cryptista (i.e. Cryptophyceae plus Goniomonadea) are the Chromobiota (i.e. Haptophyta plus Heterokonta, the latter including heterokont algae—phylum Ochrophyta), and that Cryptista and Chromobiota are appropriately classified as subkingdoms of the kingdom Chromista. Maximum likelihood often groups Goniomonas with Chilomonas, suggesting that Goniomonas may have lost both nucleomorph and plastid and that the cryptist common ancestor was photosynthetic.

Key words: Chromista, endosymbiosis, Geminigera, Komma caudata, nucleomorphs, plastid origins, Rhinomonas pauca, Rhodomonas abbreviata, rRNA phylogeny, Storeatula major

Introduction

Cryptomonads, though ecologically very important (Klaveness, 1988), are neglected because their taxonomy is less well developed at the generic, familial and ordinal levels than that of any other major algal group (Santore, 1984; Klaveness, 1985; Santore & Leedale, 1985; Hill, 1991a, b; Novarino & Lucas, 1993a). The backwardness of cryptomonad systematics stems from the great morphological uniformity of the class in the light microscope, making electron microscopy essential for defining taxa (Santore, 1982a, 1984, 1985, 1986, 1987; Hill, 1991a, b; Hill & Wetherbee, 1988, 1989, 1990; Novarino, 1991a, b; Novarino & Lucas, 1993a,b). Though only a small number of taxa have yet been studied in the electron microscope, such studies have recently led to major changes in the circumscription of key genera (Hill, 1991a, b: Hill &

This paper is dedicated to the memory of the late Denis Greenwood, the discoverer of the cryptomonad nucleomorph.

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*Present address: Department of Genetics, Washington University School of Medicine, 4444 Forest Park Drive, St Louis, MO 63108, USA. Wetherbee, 1989; Novarino et al., 1994) and to the establishment of several new genera (Hill & Wetherbee, 1986, 1988, 1990). Molecular methods can provide an independent test of these systematic innovations and also a means of extending them to new strains of cryptomonads more rapidly than is possible by electron microscopy alone. To provide an initial basis for such an approach we have sequenced the small subunit ribosomal RNA genes of several cryptomonad strains that have recently been subject to such reassignments based on electron microscopy and used them for a first molecular phylogenetic analysis of the cryptomonads.

Cryptomonads, like all other chromistan algae (Cavalier-Smith, 1986, 1995), acquired their chloroplasts through a secondary symbiotic event: the engulfment of a photosynthetic eukaryote by a phagotrophic eukaryote (Greenwood et al., 1977; Whatley et al., 1979; Cavalier-Smith, 1986, 1989; Douglas et al., 1991; Sitte, 1993; McFadden, 1993; McFadden & Gilson, 1995). All cryptomonads still contain a relic of the nucleus of the photosynthetic symbiont, known as the nucleomorph

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(Greenwood, 1974; Greenwood et al., 1977; Gillott & Gibbs, 1980; Santore, 1982b), which contains DNA (Ludwig & Gibbs, 1985; Hansmann et al., 1986) arranged in three linear chromosomes (Eschbach et al., 1991a), and undergoes a non-mitotic division (Morrall & Greenwood, 1982; McKerracher & Gibbs, 1982). The colourless zooflagellate genus Goniomonas, though indubitably related to cryptomonads (McFadden et al., 1994a), has recently been removed from the class Cryptomonadea (syn. Cryptophyceae) and placed in its own class Goniomonadea within the phylum Cryptista (syn. Cryptophyta), on account of the absence of both nucleomorph and plastids, and differences in ciliary hairs and cell shape (Cavalier-Smith, 1989, 1993a), and so will not be referred to here as a cryptomonad. Some authors accept the placement of Goniomonas in a separate order Goniomonadales, but not in a separate class (Novarino & Lucas, 1993a). For the most recent discussions of cryptist nomenclature see Novarino & Lucas (1995) and Cavalier-Smith (1995).

Cryptomonad nucleomorphs and chloroplasts are located together within a periplastic membrane, the relic of the endosymbiont's plasma membrane (Whatley *et al.*, 1979; Cavalier-Smith, 1982, 1986), which also encloses starch grains and 80S ribosomes (McFadden, 1993). The periplastid membrane and the enclosed nucleomorph, chloroplast and 80S ribosomes are located within the lumen of the perinuclear cisterna/rough endoplasmic reticulum. The 18S rRNA of the 80S ribosomes within the periplastid membrane are encoded by the nucleomorph 18S rRNA gene whereas those of the cytosol are encoded by the nucleus (McFadden *et al.*, 1994*b*).

Cryptomonads are therefore the only chromists in which it is possible to study directly the nuclear genome of the algal part of the cellular chimaera and to use its genes, as well as those of the former host component of the cell, to study molecular phylogeny. The nucleus and nucleomorph of the same cell can provide important internal controls for each other to help guard against systematic bias in trees, which can be a real problem in molecular phylogeny (Swofford & Olsen, 1990). We have therefore sequenced both the nuclear and the nucleomorph 18S rRNA genes of six diverse cryptomonads in order to see whether or not the trees derived from the two separate genomes are congruent. Together with previous data from five other cryptomonads (Douglas et al., 1991; Eschbach et al., 1991a; Maier et al., 1991; Cavalier-Smith et al., 1994) this enables us to clarify several important aspects of cryptomonad phylogeny. We particularly wished to examine two key questions.

The first question is the taxonomic significance of the location of the nucleomorph in the cell (Santore, 1982b). In three genera [Rhodomonas (syn. Pyrenomonas), Storeatula, Rhinomonas] the nucleomorph is located within an invagination of the chloroplast envelope into a deep groove within the pyrenoid. This unusual location of the nucleomorph has facilitated the purification of the nucleomorph and pyrenoid as a single organellar complex

in these genera (Hansmann & Eschbach, 1990; McFadden, 1993; McFadden & Gilson, 1995). In most cryptomonad genera, however, the nucleomorphs lie freely in the periplastid space and are not embedded in the pyrenoid, and their nucleomorphs have not been purified. Whether nucleomorphs are embedded in the pyrenoid or not is an important taxonomic character, which has been used in the more precise taxonomic separation of Rhodomonas Karsten 1898 emend. Hill & Wetherbee (1989) (syn. Pyrenomonas Santore 1984), which has the pyrenoid embedded (Santore, 1986; Hill & Wetherbee, 1989; Novarino, 1991a), from Cryptomonas (pyrenoid not embedded: Hill, 1991a; Novarino, 1991a). The shared presence of an embedded nucleomorph was part of the reason for the recent synonymization of Pyrenomonas and Rhodomonas (Hill & Wetherbee, 1989); whether the relatively new name Pyrenomonas (Santore, 1984; Novarino, 1991a) or a refinement of the old name Rhodomonas (Hill & Wetherbee, 1989) is the more appropriate name for the genus has been controversial. More recently, Novarino & Lucas (1993a) used this character as a basis for defining a new cryptophyte order, Pyrenomonadales. In order to test the validity of this order we analysed all three cryptomonad genera with embedded nucleomorphs and a selection of cryptomonads without an embedded nucleomorph. In view of the presence of pyrenoidembedded nucleomorphs in Chlorarachnion (McFadden et al., 1994c) and the remote possibility that the Chlorarachnion nucleomorph might be related to that of cryptomonads (Cavalier-Smith, 1993a, 1994; Sitte, 1993; Cavalier-Smith et al., 1994; Gilson & McFadden, 1995) we also wished to determine whether an embedded nucleomorph was ancestral or secondarily derived within cryptomonads.

The second important question concerned the significance of the fact that some cryptomonads have the red antennal pigment phycoerythrin and others have the blue pigment phycocyanin (Hill & Rowan, 1989). Some specialists have considered this difference to be of minor taxonomic importance, whereas others have given it considerable weight in the grouping of species into higher level taxa (Santore, 1987; Hill, 1991b; Novarino & Lucas, 1993a). Margulis & Schwartz (1988) even proposed that differently pigmented cryptomonads acquired their chloroplasts in several independent symbioses. By including both blue- and red-pigmented species in our analysis we have been able definitively to disprove this polyphyletic hypothesis, and to show that all cryptomonads acquired their chloroplasts from the same, probably red algal, source. Red- and blue-pigmented cryptomonads clearly diverged by the differential loss of phycocyanin and phycoerythrin pigments, both of which would have been present in the ancestral unicellular red algal endosymbiont.

Materials and methods

The species and strains used and the GenBank accession

numbers of the resulting nuclear and nucleomorph sequences are shown in Table 1. DNA was isolated using a modification of the method of Sepsenwol (1973) for the unidentified cryptomonad, and by the method of Rochaix et al. (1988) for all the other species. Small subunit rRNA genes were amplified by the polymerase chain reaction (PCR), using universal eukaryotic primers (Medlin et al., 1988) for the unidentified species and Storeatula major and for the nuclear genes of all species. For Storeatula, amplification was done using DNA extracted from purified isolated nuclei and nucleomorphs (McFadden, 1993), whereas for the other species total DNA was used. The Rhinomonas pauca, Rhodomonas abbreviata, Cryptomonas Φ , Komma and Geminigera nucleomorph genes were amplified using a nucleomorph-specific primer (5' CAG TAG TCA TAT GCT TGT CTT AAG 3') in conjunction with a universal primer. PCR conditions for the unidentified species were as follows: an initial denaturing step of 95°C for 8 min, annealing at 65°C for 4 min, and extending at 72°C for 3 min; 28 cycles of 93°C for 2 min, 65°C for 2 min, and ramping through the cycles at 62°C for 3–7 min. PCR conditions for the other species were as described previously (McFadden et al., 1995). The unidentified cryptomonad's nucleomorph PCR product contained no internal Pst I or Sal I sites (sites contained in the termini of the primers) and was cloned, using these sites, into pGEM3Zf (Promega). All the other genes were similarly cloned using Pst I and Bam HI into either pGEM3Zf or pGEM5Zf.

All clones were sequenced with conserved internal primers (Elwood *et al.*, 1985), using an ABI 373A automated sequencer and the dideoxy terminator sequencing kit (as instructed by ABI). Sequences were edited on a Sun workstation using the trace editor Ted (Gleeson & Hillier, 1991) or Sequencher 3.0 for the Macintosh (Gene Codes Inc.). Contiguous sequences were assembled from

sequences of both strands of each clone. The sequences were aligned manually with over 300 other eukaryotic 18S rRNA sequences obtained from GenBank (or our own unpublished sequences in the case of Prymnesium and Pavlova) using the Genetic Data Environment software (Smith et al., 1994). For outgroups we selected 66 noncryptophyte species representing the greatest diversity available of sequences of neokaryotes (i.e. eukaryotes branching above Euglenozoa: Cavalier-Smith, 1993a). Phylogenetic trees were calculated using Phylip v.3.5 (Felsenstein, 1993) for parsimony, distance methods, maximum likelihood and neighbor-joining distance methods. The fastDNAml package v.1.0.6 (Olsen et al., 1994) was used for maximum likelihood on a Sunsparc 10 workstation with four 80 MHz processors. All trees were calculated with a random order of addition of taxa. All parsimony and likelihood trees were calculated with equal weighting of the 1632 most conserved alignment positions. Maximum likelihood used empirical base frequencies and the fast add option. All Kimura and maximum likelihood calculations used a transition/transversion ratio of 2. Bootstrap calculations, which give a numerical indication of the degree to which different parts of the molecule support a given clade (not, as sometimes incorrectly thought, of its 'correctness'), were based on 100, 200 or 1000 pseudoreplicates depending on the method and size of the data set.

Results

In all six species the nucleomorph sequences were several hundred nucleotides longer (range 1839–2054 nucleotides) than the nuclear sequences (range 1747–1774 nucleotides, including amplification primers), owing to lengthy (often very AT-rich) insertions in less well conserved regions. Long insertions in these regions

Table 1. Strains used for DNA extraction and sequence accession numbers

Species	Strain	GenBank nucleus	GenBank nucleomorph
Komma caudata Hill (1991b)	MUCC Cr#10	U53122	U53121
Geminigera cryophila (Taylor & Lee) Hill (1991a)	CSIRO Marine Culture Collection (CS-138)	U53124	U53123
Cryptomonas sp. Ф Rhodomonas abbreviata Hill (1991a)	CCMP 325	U53126	U53125
(syn. Pyrenomonas/Cryptomonas abbreviata)	CCAP 976/16	U53128	U53127
Storeatula major Hill (1991a)	CCMP320	U53130	U53129
Rhinomonas pauca Hill & Wetherbee (1989)	MUCC Cr#47	U53132	U53131
Unidentified cryptomonad	(Culture now dead)	U53191 ^a	No sequence

^a This sequence grouped deeply with the *Chroomonas* sequence but was excluded from the present analysis as we do not have a corresponding nuclear sequence and are uncertain of its identity and detailed phenotype.

Additional nomenclatural notes:

^{1.} The Guillardia theta Hill & Wetherbee (1990) sequences in our trees were published by Douglas et al. (1991) under the name Cryptomonas sp. Φ ; owing to an earlier mix-up of cultures the Douglas laboratory was provided originally not with Cryptomonas sp. Φ but with Cryptomonas sp. Φ (now named formally as Guillardia theta Hill & Wetherbee, 1990) mislabelled as Φ . Therefore all the papers published from the Douglas laboratory on Cryptomonas sp. Φ actually refer to Guillardia theta (further details will be published elsewhere).

^{2.} The Rhodomonas salina sequences on our trees were originally published as Pyrenomonas salina (Maier et al. 1991; Eschbach et al. 1991b); we regard Pyrenomonas as an unnecessary junior synonym for Rhodomonas).

were mostly absent from all eukaryotic nuclear sequences and present only in chlorarachnean nucleomorph rRNA genes. But there was no evidence of homology between the cryptomonad and chlorarachnean insertions; even within cryptomonads these nucleomorph gene insertions were very variable in length, poorly conserved, and hard to align except for closely related species. They were therefore excluded from the phylogenetic analysis. The cryptophyte nuclear 18S rRNA genes are a little shorter than those of most neokaryotes, partly because of numerous very short phylum-specific deletions in moderately well conserved regions of the molecule; these regions were included in the phylogenetic analyses after preliminary tests showing that it made no difference to the position of cryptomonads on the trees whether they were included or not.

Maximum likelihood and parsimony analyses

Since maximum likelihood has been shown to be superior to either distance or parsimony methods for molecular phylogenetics over a wide range of parameters and is less vulnerable to violations of its basic assumptions (Nei, 1991; Kuhner & Felsenstein, 1994) we chose it as our primary method of analysis, despite its intensive use of computer time. Fig. 1 shows the relationships calculated for the 1632 most conserved and best-aligned nucleotide positions for the small subunit ribosomal RNA genes of our 92 sequence data set.

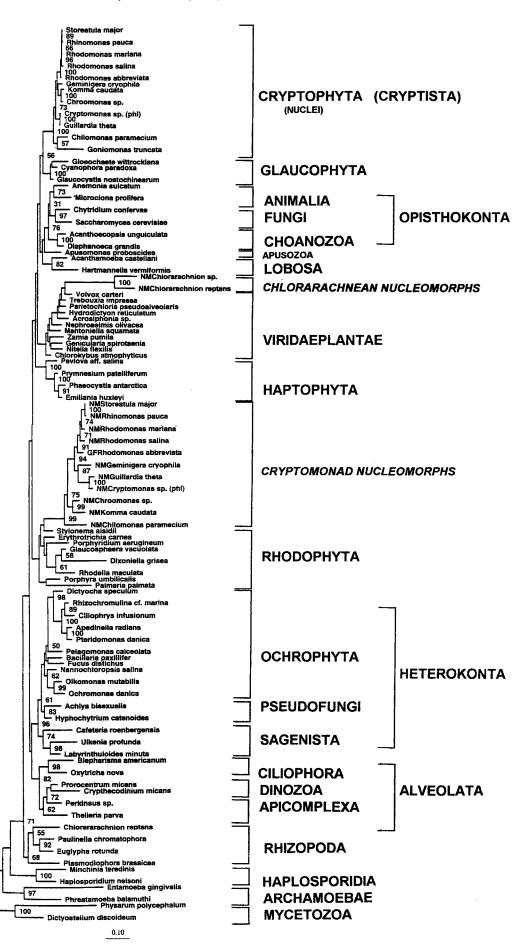
We were not able to carry out bootstrap analysis of our 92 sequence maximum likelihood trees as this would have taken 10 years of computer time. But as we find that parsimony often gives quite similar trees to maximum likelihood, except where particularly long branches are involved, the bootstrap values for a separate parsimony analysis are shown on Fig. 1. The shortest trees found by unbootstrapped parsimony analysis after several separate runs of the data were four equally parsimonious trees of length 10 823 steps. The major difference seen on these parsimony trees compared with the maximum likelihood tree was, in fact, the placement of the exceptionally longbranched Chlorarachnion nucleomorph clade, which appeared at the base of the tree just above the Haplosporidia, much as in a previous neighbor-joining study (Cavalier-Smith 1993a), and not in its very different position as the sister to Volvox (Fig. 1) which was also observed by Cavalier-Smith (1995) for a smaller data set. This deep position of the Chlorarachnion nucleomorph clade makes no biological sense and can be attributed to the long-branch-attracts artefact to which parsimony analysis is particularly prone (Felsenstein, 1978). In contrast, the position in Fig. 1 is concordant with other data (McFadden et al., 1995; van de Peer et al., 1996). The four equally parsimonious trees differed from each other only in the branching order within the green algae and the rhizopods. Branching within the cryptomonad nuclear and nucleomorph clades was identical to that on the maximum likelihood trees, except that the position of the *Geminigera* nuclear sequence was as in the marginally less likely tree mentioned in the legend to Fig. 1.

Both the maximum likelihood and the parsimony trees placed *Goniomonas* within the Cryptophyceae as sister to *Chilomonas*, suggesting that it may have arisen by loss of plastids and nucleomorph after the loss of photosynthesis in a common ancestor of *Chilomonas* and *Goniomonas*. This position, however, has very low bootstrap support; moreover an only slightly less likely maximum tree (In likelihood –50398·33) placed *Goniomonas* as sister to cryptomonads as a whole, as previously seen with a much smaller data set (McFadden *et al.*, 1994a: Cavalier-Smith *et al.*, 1994).

With both methods the branching order for the cryptomonad nuclear sequence differs in certain respects from that for the nucleomorph sequences. But as the bootstrap support for the nucleomorph topology is much greater than the nuclear one, and the nucleomorph topology is more congruent with non-sequence data (see Discussion) and with the distance analyses described below, it is more likely to be correct.

With both maximum likelihood and parsimony the cryptist nuclei were grouped weakly with the Glaucophyta, but their common stem was so short, and the bootstrap support so low (about 35%), that we do not regard this congruence as significant. Unlike maximum likelihood, parsimony did not place the cryptomonad nucleomorphs within the red algae, but as sisters to them, although with low bootstrap support. The parsimony and maximum likelihood analyses differed substantially in the branching order within the green and red algae; as similar differences were noted with distance analyses according to whether the nucleomorphs were included or not within these clades (see below), we attribute them to perturbation by the exceptionally long nucleomorph sequences. In all other respects the maximum likelihood and parsimony trees were very similar, except that parsimony placed Pseudofungi (the oomycete Achlya and hypochytrid Hypochytrium) within the Ochrophyta, not as their sister group, thus supporting their origin by chloroplast loss (Cavalier-Smith, 1995). Parsimony therefore gives evidence for four independent losses of chloroplasts within the Heterokonta [i.e. Oikomonas, Ciliophrys, Pteridomonas (see Fig. 1 also), in addition to the pseudofungi].

Fig. 1. Maximum likelihood tree for the 92-sequence data set. The bootstrap values for a separate maximum parsimony analysis (100 pseudoreplicates) using the same 1632 alignment positions are shown at the nodes, except for those under 50%. Three separate fastDNAml trees were calculated using a different random order of taxon addition and allowing regional rearrangements across 10 branches. The tree shown had the highest log likelihood (-50397.931463). An insignificantly less likely tree (In likelihood -50397.96) was identical except for the position of *Geminigera*, which was as in Fig. 2. Overall over 350 000 trees were examined. The scale bar indicates a sequence divergence of 10%.



Distance analyses by neighbor joining

One limitation of both parsimony and maximum likelihood for such a large number of taxa is that it is impossible to test all possible trees, so we cannot be certain that we have actually found the best tree by either method. It is therefore important also to carry out a distance analysis that does not have this problem. However, distance analysis as usually practised (Woese, 1987; Cavalier-Smith, 1993a; van de Peer et al., 1993; Ragan & Guttell, 1995) shares with the most widely used forms of maximum likelihood and parsimony analyses the problem that it uses equal weighting for all nucleotide positions in the molecule, thereby assuming an equal rate of evolution throughout the molecule. This assumption has long been known to be grossly violated for ribosomal RNA (Olsen, 1987), and it is also known that neighbor joining (NJ) is more affected by this violation than maximum likelihood and is therefore more likely reproducibly to give the wrong tree. We have therefore carried out distance analysis using the Jin & Nei (1990) method to calculate the distance matrix, which assumes a variation in rates according to a γ distribution. Though still oversimplified (see Felsenstein & Churchill, 1996) this method should theoretically be distinctly superior to the usual Jukes-Cantor and Kimura corrections for calculating distance matrices, and it has recently begun to be used for real data sets (e.g. Winnepenninckx et al., 1995).

Fig. 2 is a Jin & Nei NJ tree for the same data set as Fig. 1 using the value 1.6 for the shape parameter a. Though not totally congruent with Fig. 1, the tree is very similar and places the chlorarachnean nucleomorphs within the green algae as in Fig. 1, and not as sisters to the cryptomonad nucleomorphs as in previous distance analyses using the Jukes-Cantor correction (Cavalier-Smith et al., 1994). When, however, the same data set was used to calculate a NJ tree using a Jukes-Cantor corrected distance matrix, or when a Jin & Nei matrix with a lower value of 0.72 was used for a as in Winnepenninckx et al. (1995), the chlorarachnean nucleomorph clade moved dramatically to become the sister of the cryptomonad nucleomorph as found in several earlier studies (Cavalier-Smith, 1993a; Cavalier-Smith et al., 1994; Bhattacharya et al., 1995). A position of chlorarachneans as sister to the cryptomonad nucleomorphs is also not in accord with other evidence (McFadden et al., 1995; Gilson & McFadden 1995) and was previously (Cavalier-Smith et al., 1994) attributed to the long-branches-attract artefact. Trial and error using a values in the range 0.25 to 1.55 showed that none of these was sufficient to prevent the probably artefactual association of the two nucleomorph clades. In Fig. 2, the chlorarachnean clade is a sister not to Volvox alone but to a clade consisting of Chlorophyceae/Trebouxiophyceae/Ulvophyceae. The position of the cryptomonad nucleomorphs was also slightly different; they were placed as sister not to Stylonema (Fig. 1) but to a clade comprising Stylonema, Porphyridium and Erythrotrichia. However when an a value of 2 was used to calculate the Jin & Nei tree the cryptomonad nucleomorphs were placed as sisters to *Stylonema* alone and the chlorarachnean nucleomorphs as sisters to *Volvox* alone. This tree also placed *Goniomonas* as sister to *Chilomonas* as in Fig. 1, not lower down as in Fig. 2. It also altered the position of the *Chilomonas* nucleomorph clade, placing it as sister to the *Komma/Chroomonas* clade. As this latter change makes the nuclear and nucleomorph part of the tree incongruent, and as the a=2 tree also places the Archamoebae in the biologically unreasonable position of sisters to the piroplasm *Theileria*, we have chosen, somewhat arbitrarily, to show the a=1.6 tree.

For values of a between 0.25 and 1.8 the cryptomonad nuclear and nucleomorph branching order was totally congruent and identical with that of the nucleomorphs found in the maximum likelihood and parsimony analyses. NJ trees calculated from a distance matrix corrected by either the Jukes-Cantor or the Kimura methods were identical to each other in topology and virtually indistinguishable also in relative branch lengths. In the Jukes-Cantor and Kimura trees, the internal branching orders of the cryptomonad nuclei and nucleomorphs were totally congruent and identical to those of Fig. 2; but these trees placed the cryptomonad and chlorarachnean clades as a whole not within the red and green algae respectively as in Figs 1 and 2, but as separate clades just above the Haplosporidia as in an earlier Jukes-Cantor analysis (Cavalier-Smith, 1993a).

All the NI trees that did not place the nucleomorphs within the red and green algae showed the same branching order for the red and green algae as did the parsimony trees. By contrast the Jin & Nei NJ trees that placed the nucleomorphs within the red and green algae showed the very different branching order for the red and green algae seen in the Fig. 1 maximum likelihood tree, which placed the nucleomorphs within these groups. From these comparisons it is evident that any tree, no matter by what method it is generated, that places the exceedingly long nucleomorph branches within the red and green algae thereby distorts the branching order of these two algal groups. We have checked this by calculating separate trees for red and green algae with much larger data sets that exclude the nucleomorph sequences. The branching order for these two groups is then as in Fig. 2 not Fig. 1. With distance trees a different kind of distortion is seen compared with those where the cryptomonad nucleomorphs are excluded from the red algae. On these trees the red algae are relatively lower in the tree, and just above the nucleomorphs, than on trees where the nucleomorphs are within the red algae. It is as though the NJ algorithm is trying to place the two groups near each other even at the expense of placing the red algae too low in the tree.

The Kimura and Jukes—Cantor NJ trees placed the cryptist nuclei as sisters to the glaucophytes as in Fig. 1, whereas Jin & Nei NJ trees with a values in the range 0.25–1.8 placed them as sisters to the opisthokont/ Apusomonas clade as in Fig. 2. But the common stems are so short and the bootstrap values so low that both

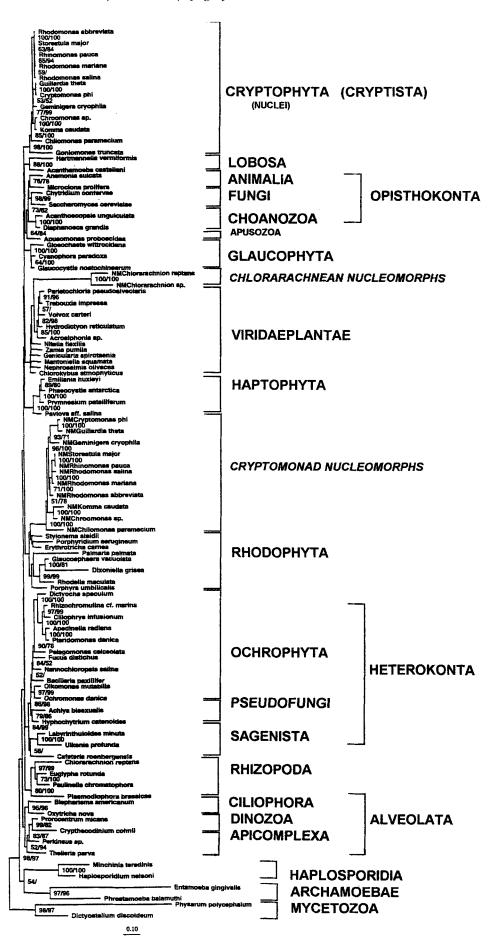


Fig. 2. Neighbor-joining (NJ) tree for the same data set as in Fig. 1 using the Jin & Nei (1990) method for calculating the distance matrix and an a shape parameter for the γ distribution of 1·6. Bootstrap percentages (200 pseudoreplicates) are shown at the nodes, except for those under 50%; the figures on the left are for the Jin & Nei analysis; those on the right are for a separate NJ analysis using the Kimura correction (with a transition/transversion ratio of 2) alone to calculate the distance matrix. The scale bar indicates a sequence divergence of 10%.

positions must be strongly suspect as accidental and biologically irrelevant.

The congruence of the nucleomorph and nuclear cryptomonad topologies in our distance analysis and the low bootstrap values for the latter are consistent with our interpretation that the partially different branching orders seen for the nuclear sequences in the maximum likelihood and parsimony analyses are artefactual. Despite these partial incongruences there were four reproducible major similarities between the nuclear and nucleomorph trees seen with all three methods of analysis. First, the Pyrenomonadales (Rhodomonas, Rhinomonas, Storeatula) formed a clade supported by high bootstrap values (100% with both parsimony and NJ) within which the branch order of the five taxa was invariant; Storeatula and Rhinomonas are very closely related and derived compared with the ancestral paraphyletic Rhodomonas. Second, the two blue-pigmented genera Komma and Chroomonas form a robust clade supported by high bootstrap values (100% for parsimony and for most but not all NJ trees). Third, the colourless Chilomonas appears to be an outgroup to all the pigmented photosynthetic genera, though this conclusion does not have high bootstrap support and was not so in the a = 2 Jin & Nei tree. The red-pigmented species Guillardia theta and Cryptomonas Φ form a robust clade with 100% bootstrap support.

Where the nuclear tree differs from, and is sometimes in apparent conflict with, the more robust nucleomorph tree is in the relative branching order of the Pyrenomonadales, the Guillardia/Cryptomonas ϕ clade, the Komma/Chroomonas clade and Geminigera. In all nucleomorph trees, and some nuclear trees, Geminigera groups deeply with the Guillardia/Cryptomonas ϕ clade, but sometimes in nuclear trees it groups instead (deeply) with the Pyrenomonadales. In all nucleomorph trees, and in all distance trees, the blue Komma/Chroomonas clade is a sister to all the eight red-pigmented taxa, whereas in the nuclear trees parsimony and likelihood trees the Guillardia/Cryptomonas ϕ clade branches slightly more deeply.

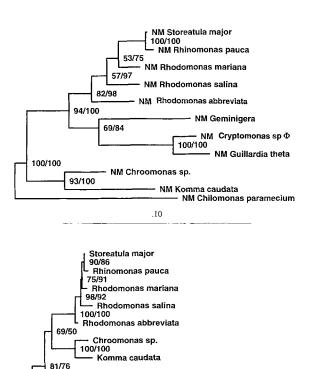
There is a conflict between the methods for the position of *Goniomonas*. With distance methods that do not allow for variable rates of evolution between sites or with Jin & Nei corrections with low values of *a* it is the sister of all the cryptomonads with nucleomorphs, as originally observed (McFadden *et al.*, 1994*a*), but with maximum likelihood or parsimony or with Jin & Nei NJ trees with high values of *a* it lies within the cryptomonads as the sister to *Chilomonas*.

Effects of taxon sampling

Because of these uncertainties concerning the cryptomonad nuclear part of the tree, we also studied the effect of taxon sampling since it is commonly found that this has a greater effect on tree topology than variations in calculation method. We therefore also calculated trees with more restricted outgroups.

One set of trees was calculated by excluding all the

distant outgroups (the lower 16 species of Fig. 1) that were used to root the trees shown in Figs 1 and 2, as well as reducing the number of heterokonts so as to reduce the total number of species to 70 to hasten the calculations. Three independent maximum likelihood calculations were done, using global rearrangements (i.e. allowing crossing of 67 branches; each took about 2 weeks, and about 300 000 trees were examined), and all gave identical trees with exactly the same branching order within the nucleomorph and cryptomonad nuclear clades as in Fig. 1. The cryptomonad nuclear part of the tree therefore still showed exactly the same inconsistencies with the nucleomorph branching order as with the 92-species data set. However, the chlorarachnean nucleomorph clade moved to join the cryptomonad nucleomorphs, emphasizing the



Geminigera

Guillardia theta

100/100

Cryptomonas sp Ф

Chilomonas paramecium

.10

Goniomonas truncata

100/99

100/ 99

Fig. 3. Maximum likelihood tree for the cryptomonad nucleomorphs (upper figure) and cryptist nuclei (lower figure) excluding all outgroups. The bootstrap values for a separate maximum parsimony analysis (200 pseudoreplicates; left figure) and a NJ analysis (1000 pseudoreplicates; Jukes-Cantor correction; right figure) are shown at the nodes, except for those under 50%. Three separate fastDNAml trees were calculated using a different random order of taxon addition and all gave exactly the same trees (upper figure: log likelihood $= -5801 \cdot 36034$; transition/transversion parameter 1·494376; 267 distinct data patterns; 1125 trees examined; the single most parsimonious tree in the corresponding parsimony analysis had 711 steps; lower figure: log likelihood = -4473.63203; transition/transversion parameter 1.516765; 186 distinct data patterns; single most parsimonious tree with 456 steps). The scale bars indicate a sequence divergence of 10%.

instability of its position on trees; though this joint nucleomorph clade was sister to the red algae, the branching order within the green algae as well as the red algae was distorted (as discussed above) in comparison with trees from which nucleomorphs were excluded. As with the 92-sequence data set, the 70-species distance trees showed the same branching order for cryptomonad nuclei and nucleomorphs as in Fig. 2 (including the placement of *Goniomonas* as sister to *Chilomonas*), but the parsimony trees agreed with the likelihood ones.

To eliminate any possible perturbing effects of distant outgroups we calculated separate nuclear and nucleomorph trees for the cryptist sequences alone (Fig. 3). The maximum likelihood and parsimony trees have an identical branching order to the 70-species trees with outgroups and preserve the same incongruencies. Paradoxically, the distance trees for the cryptomonad nuclear sequences are not identical to the maximum likelihood and parsimony trees, and are therefore incongruent with the nucleomorph branching order, which remains unchanged. This suggests that the nuclear sequences have some sort of systematic bias that distorts the tree in comparison with the nucleomorph tree, which can be compensated for by the distance methods but not by the other two when outgroups are present but not when they are absent. In this respect, distance methods appear to be superior to either maximum likelihood or parsimony, which highlights the importance of not relying solely on one or two of these three methods in phylogenetic analysis.

Because the *Goniomonas* branch is particularly long and present only in the nuclear tree, we calculated separate trees excluding it (not shown). As these were identical in topology by all methods to those shown here, a systematic bias must be present in the pattern of evolution of the 11 cryptomonad nuclear sequences themselves. Possibly the problem lies in the incorrect position of the long-branch *Chilomonas* sequence in one or both trees; excluding it makes the unrooted trees for the photosynthetic cryptomonads fully congruent by all three methods.

Discussion

Monophyletic origin of the cryptomonad nucleomorph from the nucleus of a red algal ancestor

Contrary to the proposal by Margulis & Schwartz (1988) of several separate symbiotic origins for the chloroplasts of cryptomonads from differently pigmented ancestors, all our trees, by all methods of analysis and with different taxon samples, robustly show cryptomonad nucleomorphs to be monophyletic. For both distance and parsimony methods their monophyly has 100% bootstrap support, and the length of the stem at their base is so great with both maximum likelihood and distance trees that there can be no doubt that all cryptomonad nucleomorphs originated from the same secondary symbiosis (Cavalier-Smith, 1982, 1986).

The nature of the eukaryotic alga from which crypto-

monad nucleomorphs and chloroplasts both evolved is also clarified by our new trees. It has long been clear from the similarity of their phycobiliprotein pigments that cryptomonad chloroplasts must be related to those of red algae (Glazer & Appell, 1977). But there have been two different hypotheses as to the precise nature of this relationship. According to one, the ancestral endosymbiont was a red alga (Whatley et al., 1979); a possible alternative was that it was a phyletic intermediate between the ancestors of red algae and dinoflagellates that possessed both phycobilins and chlorophyll c (Cavalier-Smith, 1982). The former idea that it was an actual red alga, and not a phyletic intermediate, is clearly supported by our present trees, which thus confirm the earlier tentative support for a direct red algal ancestry based on relatively few sequences (Douglas et al., 1991; Maier et al., 1991; Cavalier-Smith et al., 1994; Ragan et al., 1994; McFadden et al., 1994a; Ragan & Guttell, 1995; Cavalier-Smith, 1995). As red algae do not have chlorophyll c, and unlike cryptomonads have phycobilisomes, this makes it likely that the chlorophyll c of cryptomonads evolved after the initial endosymbiotic event, possibly contemporaneously with the loss of phycobilisome linker proteins and allophycocyanin that must have occurred in the ancestor of extant cryptomonads (Cavalier-Smith, 1982; Ludwig & Gibbs, 1989).

It has long been obvious that if the endosymbiont was a red alga it must have been a unicellular red alga and not a multicellular member of the Bangiales or Florideophyceae, unless it was a spermatium or carpospore of a multicellular species, which seems a little improbable. Though our trees, unlike those of Ragan et al. (1994) and Ragan & Guttell (1995), include several unicellular red algae (Glaucosphaera, Rhodella, Porphyridium), none of them group specifically and reproducibly with the cryptomonad nucleomorphs on our best trees, though Porphyridium did so on one slightly suboptimal parsimony tree. Instead, the nucleomorphs seem to be closest to the minute, branched, filamentous epiphyte Stylonema (illustrated in Bird & McLachlan, 1992) on maximum likelihood trees and on the Jin & Nei tree that weighted the most conserved parts of the sequences the most strongly. Possibly, when genes from a greater diversity of unicellular red algae are sequenced, one of them will prove to be even more closely related to the cryptomonad nucleomorphs. However, the nucleomorph 18S rRNA sequences are so rapidly evolving that one would only be confident of their precise position within the red algae if it were corroborated by several different genes.

The red algal ancestry of the cryptomonad nucleomorph is also supported by chloroplast 16S rRNA gene phylogenies (McFadden *et al.*, 1995; Bhattacharya & Medlin, 1995; Leblanc *et al.*, 1995), but as these do not at present include sequences from dinoflagellates they are less decisive in discriminating between the two theories discussed above. The evidence from Rubisco is also most simply consistent with a red algal ancestry, since cryptomonads (like all chromists) have the type I purple bacterial form of

Rubisco otherwise known only in red algae (Martin *et al.*, 1992), whereas dinoflagellates have the radically different type II Rubisco (Morse *et al.*, 1995; Whitney *et al.*, 1995; Palmer, 1995, 1996; Rowan *et al.*, 1996).

Probable early divergence of blue- and red-pigmented cryptomonads

The phycobilisomes of the red alga that was ancestral to the cryptomonad chloroplast must have had both red phycoerythrin and blue phycocyanin and allophycocyanin pigments. The fact that photosynthetic cryptomonads contain either phycocyanin or phycoerythrin but never both, and never have allophycocyanin, means that the ancestor(s) of red-pigmented cryptomonads lost phycocyanin and the ancestor(s) of blue-pigmented cryptomonads lost phycoerythrin. A priori, the most parsimonious interpretation of these facts would be that this loss occurred once only for each type, and that red- and blue-pigmented cryptomonads diverged from a common ancestor with both pigments, and are therefore sister groups. On this simple interpretation there would never have been a changeover from red pigment to blue pigment or vice versa.

Our nucleomorph sequence trees strongly support this parsimonious theory since they show the blue Komma/ Chroomonas clade as a sister to all the eight red-pigmented taxa. Unfortunately the nuclear sequences are more ambiguous: for distance methods the blue and red clades are sisters, but for parsimony and maximum likelihood the red Guillardia/Cryptomonas ϕ clade tends to branch slightly lower than the blue clade. If this latter topology were correct, it would imply that there had been a changeover from red to blue pigments, which is chemically very improbable. Both for this reason, and because the branching order of nuclear sequences is congruent with the much more robust nucleomorph sequence tree in our distance trees, we suggest that the nucleomorph branching order is almost certainly correct, and that the somewhat discordant topology of the parsimony and likelihood nuclear trees is probably an artefactual perturbation of the branching order, possibly caused by the misplacement of the long Chilomonas branch. This interpretation could be tested by obtaining sequences from more species, especially ones more closely related to Chilomonas such as Campylomonas.

The reason why the nucleomorph trees are much more robust than the nuclear tree is probably that, as the nucleomorph genes are evolving more rapidly, a larger number of nucleotide substitutions occurred in each of the short internal segments of the nucleomorph tree so that each clade is supported by the larger number of shared derived characters. This will make the nucleomorph trees more resistant to changes in method, as well as to variations in taxon sampling, and increase the bootstrap support for the branching order.

Our results give strong molecular support to the use of variations in the phycobilin pigments in the suprageneric

classification of cryptomonads (Hill & Rowan, 1989; Novarino & Lucas, 1993a).

Monophyly of cryptomonads with embedded nucleomorphs (Pyrenomonadales)

Our results clearly show that *Rhodomonas, Rhinomonas* and *Storeatula* form a natural group of cryptomonads characterized by possessing nucleomorphs embedded in the pyrenoid. This conclusion is totally robust, regardless of variations in analytic method, taxon sampling, and intramolecular sampling (as shown by the 100% bootstrap values for the clade).

Thus, the recently established order Pyrenomonadales (Novarino & Lucas, 1993a) is a very well supported holophyletic taxon. The ancestral state for cryptomonads was clearly that with nucleomorphs not embedded in the pyrenoid, making Cryptomonadales a paraphyletic taxon. In future, when a larger number of sequences are available, it will probably be desirable to subdivide Cryptomonadales into several orders, not because they are paraphyletic but because they are phenotypically too diverse (with blue, red and colourless genera for a start) to be included in a single order. Our molecular phylogenetic results strongly support the recent use of well-chosen ultrastructural characters in revising the limits of cryptomonad genera and in their higher-level classification.

Origin of the chlorarachnean endosymbiont

Our present results highlight the extremely volatile position of the Chlorarachnion nucleomorphs on 18S rRNA trees. Our a = 1.6 Jin & Nei NJ and 92-sequence maximum likelihood trees, however, support the earlier evidence reviewed by Cavalier-Smith (1995) and McFadden et al. (1995) for a green algal ancestry for the chlorarachnean nucleomorph and chloroplast, and are consistent with the interpretation of Cavalier-Smith et al. (1994) and van de Peer et al. (1996) that the frequent grouping together of chlorarachnean and cryptomonad nucleomorphs on 18S rRNA trees is an artefact caused by their very long branches, especially those of chlorarachneans. Our present trees suggest that the ancestor of the chlorarachnean nucleomorph and chloroplast may have been a chlorophycean green alga related to the Volvocales, rather than a prasinophyte as earlier tentatively suggested (McFadden et al., 1995; Cavalier-Smith, 1995). This evidence clearly favours the original exclusion (Cavalier-Smith, 1986) of chlorarachneans from the Chromista, rather than their inclusion (Cavalier-Smith, 1993a, 1994) based primarily on the earlier, misleading 18S rRNA trees. Location of the nucleomorph within a pyrenoid invagination in cryptomonads of the order Pyrenomonadales and Chlorarachnion reptans is apparently a case of evolutionary convergence, perhaps attributable to requirements of partitioning daughter nucleomorphs during plastid fission (McFadden, 1993).

Relationship of cryptists to other chromists

Our results do little to clarify the controversial question of the relationship of cryptists themselves to other organisms. In none of our trees is the position of the cryptists supported by high bootstrap values. In some of our trees they are weakly grouped with glaucophytes as observed by Bhattacharya et al. (1995), whereas in others they are not, as observed by Cavalier-Smith (1995). Because of the many contradictory positions of the cryptists shown on published trees, including our own, we do not think that any of them can be relied upon. We know of no particular biological reason to think that cryptists actually are related to glaucophytes.

Reasons for classifying cryptists together with Heterokonta and Haptophyta in the kingdom Chromista have been extensively discussed elsewhere (Cavalier-Smith, 1986, 1989, 1995). As emphasized previously (Cavalier-Smith, 1993a, b; Cavalier-Smith et al., 1994), 18S rRNA trees neither refute nor confirm this classification. Our present trees are no different from earlier ones in this respect, but they do offer new insight with respect to the position of Goniomonas. Previous analyses in which Goniomonas was positioned below the cryptomonads (McFadden et al., 1994a; Cavalier-Smith et al., 1994; Bhattacharya et al., 1995; Cavalier-Smith, 1995) may have suffered from a long-branch artefact. The Goniomonas sequence is markedly different from that of all other cryptists, and it always appears as a long branch. The fact that our maximum likelihood tree (Fig. 1), parsimony trees, and Jin & Nei distance tree with an a value of 2.0 (slowly evolving parts of the molecule weighted more strongly than the more rapidly evolving parts) placed Goniomonas as sister to Chilomonas could suggest that the Goniomonas sequence was placed too low on earlier trees because of its ultra-rapid rate of evolution. In the absence of an appropriate outgroup sequence for cryptists, sequencing other Goniomonas species and Chilomonas relatives (ideally with shorter branches than the *Chilomonas paramecium* used here) will be necessary to refute or confirm this possibility. If, however, our maximum likelihood, parsimony, and high a Jin & Nei trees are correct, then Goniomonas is derived from photosynthetic ancestors, and the common ancestor of all cryptists could have been photosynthetic, as postulated by the monophyletic hypothesis of the kingdom Chromista (Cavalier-Smith, 1986, 1989, 1995).

Our suggestive, but by no means conclusive, evidence that *Goniomonas* also may have lost its chloroplasts, when added to the new extensive molecular evidence for multiple losses of chloroplasts within the Heterokonta (Cavalier-Smith *et al.*, 1995, 1996; see also Figs 1 and 2 of the present paper), can be interpreted as additional support for the thesis that all chromists are descended from a photosynthetic ancestor that originated in a single symbiogenetic event (Cavalier-Smith, 1986, 1989, 1995). The idea of a single symbiotic event involving the uptake of a red alga by the ancestral chromistan host is supported by the fact that on chloroplast 16S rRNA trees (McFadden

et al., 1995; Bhattacharya & Medlin, 1995; van de Peer et al., 1996) haptophytes and heterokonts, like cryptomonads, branch within the red algal part of the tree, even though haptophytes and heterokonts lack the phycobilin evidence for a red algal ancestry. Moreover, as mentioned above, these two groups have a Rubisco of the red algal type, which also supports the origin of their chloroplasts from red algae. Unfortunately the branching positions of haptophytes, heterokonts and cryptists on both the 16S rRNA and Rubisco trees (McFadden et al., 1995) are too closely spaced and non-robust for them to be any more reliable than is nuclear 18S rRNA in discriminating unequivocally between a monophyletic and a polyphyletic origin of the Chromista. Some 16S rRNA trees group haptophytes with cryptomonads (Nelissen et al., 1995), some group them with heterokonts, and others do neither.

This very closeness of the branching of the three major chromistan taxa on all three types of molecular trees is congruent with the hypothesis that they diverged from each other very rapidly following the symbiogenetic origin of the kingdom Chromista (Cavalier-Smith, 1982, 1986, 1989, 1995). Furthermore, the fact that on our 18S rRNA trees, and many other published ones, these three taxa are close to, and usually intermingled with, the three main plant groups (red algae, green plants and glaucophytes), which are proposed to have diverged from a common ancestor almost immediately following the symbiogenetic origin of the chloroplast (Cavalier-Smith, 1995), is consistent with the thesis that the chimaeric origin of the Chromista also occurred very shortly after the origin of chloroplasts (Cavalier-Smith, 1982, 1986). The position of the cryptomonad nucleomorph clade on our trees relatively close to the base of the red algal radiation also supports this thesis. By contrast, the position of the chlorarachnean nucleomorphs relatively high up amongst the chlorophycean algae suggests that the endocytobiotic acquisition of green algal chloroplasts by chlorarachneans may have occurred very substantially after the origin of chloroplasts.

Utility of nuclear and nucleomorph rRNA sequences for cryptomonad taxonomy

Our results demonstrate the great utility of 18S rRNA phylogeny for resolving some problems in the taxonomy of cryptomonads, an algal class within which the delimitation of genera and families has proved particularly difficult (Klaveness, 1985; Hill & Wetherbee, 1989; Hill, 1991a, b). Ribosomal RNA sequencing also has the potential to give much more information than restriction site studies (Chesnick et al., 1991). Sequencing the 18S rRNA of other genera will be important for showing the major features of cryptomonad phylogeny and in particular whether any photosynthetic genera branch markedly lower down than *Goniomonas*, in order to test more thoroughly the suggestion that it was derived from cryptomonads by the loss of plastids and nucleomorphs, as argued by Cavalier-Smith (1989, 1995), rather than

being primitively without these organelles as reasoned by McFadden *et al.* (1994*a*). Since, however, the delimitation of most cryptomonad genera is still so uncertain, it will be important in future to sequence several representatives of each presently recognized genus in order to test their validity.

The fact that the nucleomorph sequences have been evolving at over twice the rate of the nuclear sequences provides more phylogenetically informative changes, and therefore suggests that nucleomorph sequences will be more useful than nuclear ones, especially at lower taxonomic levels. The systematic differences in rate of evolution between two eukaryotic genomes that have been part of the same cell for several hundred million years clearly show that there is no cell-wide molecular clock. The simplest explanation for the higher rate of evolution of the cryptomonad nucleomorph genome is that its great reduction in coding capacity has reduced the strength of stabilizing selection against random changes in ribosome structure. The apparently rapid evolution of the chlorarachnean nucleomorph sequences is consonant with the fact that they have experienced a nearly two-fold greater degree of genome reduction than the cryptomonad nucleomorphs (Gilson & McFadden, 1995). The insertion of extra sequences in similar positions within the molecule in both cryptomonads and chlorarachneans is probably a convergent consequence of the independent reduction in genome size and consequently of the strength of stabilizing selection in these two types of nucleomorph.

Even though the nuclear trees do not always support the nucleomorph trees in every detail, their very substantial congruence (and total congruence by distance methods) gives us considerable confidence in the validity of this approach to cryptomonad systematics. Because of their exceptionally rapid evolution, the long insertions in the nucleomorph DNA, though not useful for the overall phylogeny of cryptomonads considered here, could be of particular systematic value at the intrageneric, and possibly even intraspecific, levels.

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